



# LLOYDS METALS

## LLOYDS METALS AND ENERGY LIMITED

### ANNEXURE A

#### QUARTERLY COMPLIANCE REPORT ON CORPORATE GOVERNANCE

##### I. Name of Listed Entity 2. Quarter ending

Lloyds Metals and Energy Limited  
31st December, 2025

Title (Mr. /Ms.)	Name of the Director	PAN	DIN	Category (Chairperson /Executive/ Non-Executive/ Independent/ Nominee)	Initial Date of Appointment	Date of Re-Appointment	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Date of Cessation	Tenure (in months)	Date of Birth	No. of directorship in listed entities including this listed entity [with reference to Regulation 17A]	No. of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & reg. 17A(2)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity [Refer Regulation 26(1) of Listing Regulations]	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mr. Mukesh R Gupta	AADPG1001A	00028347		Chairperson - Non-Executive - Non Independent Director	21-11-91	-	Not Applicable	-	-	13-06-58	2	-	-	1	1
Mr. Babulal Agarwal	AAAPA6942R	00029389		Non-Executive - Non Independent Director	01-10-10	08-08-23	Yes	23-10-23	-	27-11-46	2	-	-	-	-
Mr. Rajesh R Gupta	AKKPG7456N	00028379		Managing Director - Executive Director	21-11-91	08-08-23	Not Applicable	-	-	10-10-64	2	-	-	2	-
Mr. Balasubramanian Prabhakaran	AEFPP5795L	01428366		Managing Director - Executive Director	07-10-21	08-08-23	Not Applicable	-	-	17-04-73	1	-	-	-	-
Mr. Madhur Rajesh Gupta	AKOPG3296Q	06735907		Executive Director	13-11-20	-	Not Applicable	-	-	17-06-91	1	-	-	-	-
Mr. Venkateswaran Soundaraajan	AENPV6124M	08035383		Executive Director	08-08-23	-	Not Applicable	-	-	05-06-70	1	-	-	-	-
Mr. Shabbirhusain Saikhadan Khandwala	AJOPK6278M	10821717		Non-Executive - Non Independent Director	18-12-24	12-08-25	Yes	17-01-25	-	08-08-50	1	-	-	-	-
Mr. Ramesh Luharuka	AAAPL5127F	00001380		Non-Executive - Independent Director	07-10-21	07-10-21	Not Applicable	-	-	50.24	14-10-54	1	1	2	1
Mrs. Seema Saini	BUEPS7427N	09539941		Non-Executive - Independent Director	30-03-22	30-03-22	Not Applicable	-	-	45.01	06-02-65	1	1	-	-
Mr. Satish Ramchandra Wate	AAEPW7002L	07792398		Non-Executive - Independent Director	20-01-23	20-01-23	Not Applicable	-	-	35.11	22-12-55	3	3	3	2
Mr. Subbarao Venkata Munnam	ACWPM1673M	06495576		Non-Executive - Independent Director	16-03-23	16-03-23	Not Applicable	-	-	33.15	06-06-61	2	2	3	-
Mr. Mahendra Singh Mehta	AACPM9069R	00019566		Non-Executive - Independent Director	23-10-23	23-10-23	Not Applicable	-	-	26.08	09-12-55	2	2	3	1
Mr. Dinesh Kumar Jain	AADPJ2185L	07239310		Non-Executive - Independent Director	23-10-24	23-10-24	-	-	-	14.08	25-01-59	1	1	-	-
Mr. Suji Varma	ABFPV6831J	09073212		Non-Executive - Independent Director	12-08-25	12-08-25	Not Applicable	-	-	4.19	06-01-61	5	4	6	3
Ms. Anita Shantaram	AAHPS4228B	00786517		Non-Executive - Independent Director	12-08-25	12-08-25	Not Applicable	-	-	4.19	07-07-68	4	4	7	0

Whether Regular Chairperson appointed

Yes

Whether Chairperson is related to Managing Director or CEO

Yes

Whether Chairperson is related to Promoter

Yes

##### II. Composition of Committees

Name of Committee	Name of Committee members	Chairperson/ Membership	Category (Chairperson/Executive/ Non-Executive/ independent/Nominee)	Date of Appointment	Date of Cessation
1. Audit Committee	Ramesh Luharuka	Chairperson	Non-Executive - Independent Director	11-02-22	--
	Rajesh R Gupta	Member	Executive Director	11-02-22	--
	Mahendra Singh Mehta	Member	Non-Executive - Independent Director	12-11-23	--
	Subbarao Venkata Munnam	Member	Non-Executive - Independent Director	27-05-24	--
Whether Regular Chairperson appointed	Yes				
2. Nomination & Remuneration Committee	Satish Ramchandra Wate	Chairperson	Non-Executive - Independent Director	27-05-24	--
	Mahendra Singh Mehta	Member	Non-Executive - Independent Director	12-11-23	--
	Mukesh R Gupta	Member	Non-Executive - Non Independent Director	08-08-23	--
Whether Regular Chairperson appointed	Yes				
3. Risk Management Committee	Rajesh R Gupta	Chairperson	Executive Director	11-02-22	--
	Madhur Rajesh Gupta	Member	Executive Director	11-02-22	--
	Satish Ramchandra Wate	Member	Non-Executive - Independent Director	12-11-23	--
	Subbarao Venkata Munnam	Member	Non-Executive - Independent Director	27-05-24	--
Whether Regular Chairperson appointed	Yes				
4. Stakeholders Relationship Committee	Mukesh R Gupta	Chairperson	Non-Executive - Non Independent Director	08-08-23	--
	Ramesh Luharuka	Member	Non-Executive - Independent Director	11-02-22	--
	Mahendra Singh Mehta	Member	Non-Executive - Independent Director	12-11-23	--
Whether Regular Chairperson appointed	Yes				
5. Corporate Social Responsibility Committee	Seema Saini	Chairperson	Non-Executive - Independent Director	12-11-23	--
	Ramesh Luharuka	Member	Non-Executive - Independent Director	11-02-22	12-11-25
	Rajesh R Gupta	Member	Managing Director	21-01-15	--
	Subbarao Venkata Munnam	Member	Non-Executive - Independent Director	12-11-25	--
	Balasubramanian Prabhakaran	Member	Managing Director	12-11-25	--
	Soundaraajan Venkateswaran	Member	Executive Director	12-11-25	--
	Arun Prasad	Permanent Invitee	Director - ESG	12-11-25	--
Whether Regular Chairperson appointed	Yes				
6. Committee of Board of Directors	Rajesh R Gupta	Chairperson	Executive Director	27-10-14	--
	Mukesh R Gupta	Member	Non-Executive - Non Independent Director	27-10-14	--
	Balasubramanian Prabhakaran	Member	Executive Director	08-08-23	--
	Mahendra Singh Mehta	Member	Non-Executive - Independent Director	27-10-24	--
Whether Regular Chairperson appointed	Yes				

**Lloyds Metals and Energy Limited**

R/O: Plot No: A 1-2, MIDC Area, Ghugus, District Chandrapur – 442505, Maharashtra, India. [www.lloyds.in](http://www.lloyds.in) | E: [investor@lloyds.in](mailto:investor@lloyds.in) | CIN: L40300MH1977PLC019594

**Corporate Office:**

A-2, 2<sup>nd</sup> Floor, Madhu Estate, Pandurang Budhkar Marg, Lower Parel (West), Mumbai – 400013, Maharashtra, India. C/O No.: +91-22-62918111 | R/O No.: +91-8411965300





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## LLOYDS METALS AND ENERGY LIMITED

### ANNEXURE A

#### QUARTERLY COMPLIANCE REPORT ON CORPORATE GOVERNANCE

##### III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met* (Yes/No)	Number of Directors present*	Number of independent directors present*	Maximum gap between any two consecutive (in number of days)
12-08-25		Yes	13	7	91.00
	12-11-25	Yes	15	8	27.00
	10-12-25	Yes	14	7	20.00
	31-12-25	Yes	15	8	

\*Details given for current quarter

##### IV. Meeting of Committees

Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)* (Yes/No)	No. of Directors present*	Number of Independent Directors present*	No. of members attending the meeting (other than Board of Directors)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
Audit Committee Meeting		Yes	4	3	--	12-08-25	
Audit Committee Meeting	12-11-25	Yes	4	3	--	--	91
Nomination and Remuneration Committee		Yes	3	2	--	12-08-25	--
Nomination and Remuneration Committee	12-11-25	Yes	3	2	--	--	91
Risk Management Committee		Yes	4	2	--	12-08-25	--

\*Details given for current quarter

##### V. Related Party Transactions

###### Subject

Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	Yes
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

###### Note

###### Details of Cyber security incidents

Whether as per Regulation 27(2) (ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter	No
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Date of the event	Brief details of the event
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Not Applicable	Not Applicable
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##### VI. Affirmations

- The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
  - Audit Committee
  - Nomination & remuneration committee
  - Stakeholders relationship committee
  - Risk management committee
- The Committee Members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- This report and/or the report submitted in the previous quarter has been placed before the Board of Directors.

Lloyd's Metals and Energy Limited



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# LLOYDS METALS

**INTEGRATED FILING (GOVERNANCE)**  
**ANNEXURE B**  
**INVESTOR GRIEVANCE REDRESSAL REPORT**

No. of investor complaints pending at the beginning of Quarter	-
No. of investor complaints received during the Quarter	4
No. of investor complaints disposed off during the Quarter	3
No. of investor complaints those remaining unresolved at the end of the Quarter	1

For Lloyds Metal and Energy Limited



Akshay Vora

Company Secretary

Membership No.: ACS43122

**Lloyds Metals and Energy Limited**

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## INTEGRATED FILING (GOVERNANCE)

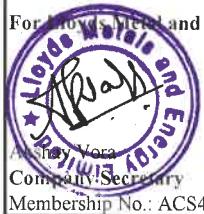
### ANNEXURE C

#### DISCLOSURE OF ACQUISITION OF SHARES OR VOTING RIGHTS IN UNLISTED COMPANIES

The details of acquisition of shares or voting rights in unlisted companies during the quarter in terms of sub-para 1 of para A of Part A of Schedule III are given below:

Sr. No.	Name of the unlisted company in which shares or voting rights have been acquired	Date of acquisition	Aggregate holding (% shares or voting rights) as at the end of the previous quarter	% shares or voting rights acquired during the quarter	Aggregate holding (% shares or voting rights) as at the end of the quarter
1	Thriveni Pellets Private Limited	21-10-25	0	49.99%	49.99%
2	LT Gondwana Skill Hub Private Limited	12-11-25	0	20.00%	20.00%

For Lloyds Metals and Energy Limited



Ashish Vora  
Company Secretary  
Membership No.: ACS43122

#### Lloyds Metals and Energy Limited

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## LLOYDS METALS

**INTEGRATED FILING (GOVERNANCE)**  
**ANNEXURE D**  
***DISCLOSURE OF IMPOSITION OF FINE OR PENALTY***

The details of imposition of fine or penalty during the quarter in terms of sub-para 20 of para A of Part A of Schedule III are given below:

Sr. No.	Name of the authority	Nature and details of the action(s) taken or order(s) passed	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	Details of the violation(s)/contravention(s) committed or alleged to be committed	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible
				Nil	

A circular purple stamp with the text "Lloyds Metals and Energy Limited" around the perimeter and a signature in the center. Below the stamp, the text "Alshai Vora", "Company Secretary", and "Membership No.: ACS43122" are handwritten.



**LLOYDS METALS**

**INTEGRATED FILING (GOVERNANCE)**

**ANNEXURE E**

**DISCLOSURE OF UPDATES TO ONGOING TAX LITIGATIONS OR DISPUTES**

The updates on tax litigations or disputes in terms of sub-para 8 of para B of Part A of Schedule III read with corresponding provisions of Annexure 18 of the Master Circular are given below:

<b>Sr. No.</b>	<b>Name of the opposing party</b>	<b>Date of initiation of the litigation / dispute</b>	<b>Status of the litigation / dispute as per last disclosure</b>	<b>Current status of the litigation / dispute</b>
			Nil	

**For Lloyds Metal and Energy Limited**



Akshay WDP

**Company Secretary**

Membership No.: ACS43122

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