

<b>General information about company</b>	
Scrip code	512455
Name of the company	LLOYDS METALS AND ENERGY LTD.
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	<b>Particular</b>	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes
7	Whether company has equity shares with differential voting rights?	No

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	14	132819074			132819074	59.67	132819074		132819074	59.67
(B)	Public	12308	89763506			89763506	40.33	89763506		89763506	40.33
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	12322	222582580			222582580	100	222582580		222582580	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				59.67			43890380	33.05	132819074
(B)	Public				40.33					83010296
(C)	Non Promoter- Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100			43890380	19.72	215829370

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	10	8721720			8721720	3.92	8721720		8721720	3.92
(d)	Any Other (specify)	4	124097354			124097354	55.75	124097354		124097354	55.75
Sub-Total (A)(1)		14	132819074			132819074	59.67	132819074		132819074	59.67
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		14	132819074			132819074	59.67	132819074		132819074	59.67
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	2	7000			7000	0	7000		7000	0
(f)	Financial Institutions/ Banks	4	101914			101914	0.05	101914		101914	0.05
Sub-Total (B)(1)		6	108914			108914	0.05	108914		108914	0.05
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	11707	18489844			18489844	8.31	18489844		18489844	8.31
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	23	17094014			17094014	7.68	17094014		17094014	7.68
(e)	Any Other (specify)	572	54070734			54070734	24.29	54070734		54070734	24.29
Sub-Total (B)(3)		12302	89654592			89654592	40.28	89654592		89654592	40.28
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		12308	89763506			89763506	40.33	89763506		89763506	40.33
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		12322	222582580			222582580	100	222582580		222582580	100
Total		12322	222582580			222582580	100	222582580		222582580	100

(A+B+C) | | | | | | | | | |

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				3.92			0	0	8721720
(d)				55.75			43890380	35.37	124097354
Sub-Total (A) (1)				59.67			43890380	33.05	132819074
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				59.67			43890380	33.05	132819074
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0					2000
(f)				0.05					90914
Sub-Total (B) (1)				0.05					92914
( 3 )	Non-institutions								
(a(i))				8.31					12964634
(a(ii))				7.68					17094014
(e)				24.29					52858734
Sub-Total (B) (3)				40.28					82917382
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				40.33					83010296
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					215829370
Total (A+B+C )				100			43890380	19.72	215829370

<b>Individuals/Hindu undivided Family</b>							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	Shreekrishna M Gupta	Priyanka Rajesh Gupta	Madhur R Gupta	Rajesh R Gupta	Dipti M Gupta	Chitralekha R Gupta	Abha M Gupta
PAN (II)	AKPPG3031Q	AKOPG3403H	AKOPG3296Q	AKKPG7456N	AJCPG2187M	AEFPG2752N	AEFPG2751R
No. of fully paid up equity shares held (IV)	602000	500000	600000	691720	500000	516740	669540
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	602000	500000	600000	691720	500000	516740	669540
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.27	0.22	0.27	0.31	0.22	0.23	0.3
<b>Number of Voting Rights held in each class of securities (IX)</b>							
Class eg: X	602000	500000	600000	691720	500000	516740	669540
Class eg: y							
Total	602000	500000	600000	691720	500000	516740	669540
Total as a % of Total Voting rights	0.27	0.22	0.27	0.31	0.22	0.23	0.3
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.27	0.22	0.27	0.31	0.22	0.23	0.3
<b>Number of Locked in shares (XII)</b>							
No. (a)							
As a % of total Shares held (b)							
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>							
No. (a)	0	0	0	0	0	0	0



As a % of total Shares held (b)	0	0	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	602000	500000	600000	691720	500000	516740	669540
Reason for not providing PAN							
Reason for not providing PAN							

<b>Individuals/Hindu undivided Family</b>				
Serial No.	8	9	10	
Name of the Shareholders (I)	Renu R Gupta	Mukesh R Gupta	Ravi Agarwal	<a href="#">Click here to go back</a>
PAN (II)	AATPG7279C	AADPG1001A	AAAPA6042J	Total
No. of fully paid up equity shares held (IV)	1204420	707300	2730000	8721720
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1204420	707300	2730000	8721720
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.54	0.32	1.23	3.92
<b>Number of Voting Rights held in each class of securities (IX)</b>				
Class eg: X	1204420	707300	2730000	8721720
Class eg: y				
Total	1204420	707300	2730000	8721720
Total as a % of Total Voting rights	0.54	0.32	1.23	3.92
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.54	0.32	1.23	3.92
<b>Number of Locked in shares (XII)</b>				
No. (a)				
As a % of total Shares held (b)				
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>				
No. (a)	0	0	0	0

As a % of total Shares held (b)	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	1204420	707300	2730000	8721720
Reason for not providing PAN				
Reason for not providing PAN				

<b>Any Other (specify)</b>					
Serial No.	1	2	3	4	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	Lloyds Metals And Minerals Trading LLP	Trump Investments Limited	ASP Technologies Pvt Ltd	Shree Global Tradefin Ltd	
PAN (II)	AAFFL9462L	AAACT7611H	AAACS7392G	AAACB2975J	Total
No. of the Shareholders (I)	1	1	1	1	4
No. of fully paid up equity shares held (IV)	14648426	29158208	36400340	43890380	124097354
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	14648426	29158208	36400340	43890380	124097354
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	6.58	13.1	16.35	19.72	55.75
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	14648426	29158208	36400340	43890380	124097354
Class eg:y					
Total	14648426	29158208	36400340	43890380	124097354
Total as a % of Total Voting rights	6.58	13.1	16.35	19.72	55.75
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	6.58	13.1	16.35	19.72	55.75
Number of Locked in shares (XII)					
No. (a)					

As a % of total Shares held (b)					
Number of Shares pledged or otherwise encumbered (XIII)					
No. (a)	0	0	0	43890380	43890380
As a % of total Shares held (b)	0	0	0	100	35.37
Number of equity shares held in dematerialized form (XIV)	14648426	29158208	36400340	43890380	124097354
Reason for not providing PAN					

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>			
Serial No.	1	2	
Name of the Shareholders (I)	Premlata Harlalka	OM HARI HALAN	<a href="#">Click here to go back</a>
PAN (II)	AAAPH3087N	AAAHO0139A	Total
No. of fully paid up equity shares held (IV)	2836000	2250348	5086348
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2836000	2250348	5086348
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.27	1.01	2.29
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	2836000	2250348	5086348
Class eg:y			
Total	2836000	2250348	5086348
Total as a % of Total Voting rights	1.27	1.01	2.29
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.27	1.01	2.29
<b>Number of Locked in shares (XII)</b>			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	2836000	2250348	5086348

Reason for not providing PAN			
Reason for not providing PAN			

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Clearing Members	NRI – Repat	Overseas corporate bodies	Trusts
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	Category	Category	Category
Name of the Shareholders (I)	HALAN PROPERTIES PVT LTD	HALAN PROPERTIES PRIVATE LIMITED.	UTTAM EXPORTS PRIVATE LIMITED				
PAN (II)	AAACH8909A	AAACH3909A	AAACU0655J				
No. of the Shareholders (I)	1	1	1	58	349	7	1
No. of fully paid up equity shares held (IV)	8300000	8623000	10780000	1502368	3825748	11200000	1000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	8300000	8623000	10780000	1502368	3825748	11200000	1000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.73	3.87	4.84	0.67	1.72	5.03	0
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	8300000	8623000	10780000	1502368	3825748	11200000	1000
Class eg:y							
Total	8300000	8623000	10780000	1502368	3825748	11200000	1000
Total as a % of Total Voting rights	3.73	3.87	4.84	0.67	1.72	5.03	0
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=	3.73	3.87	4.84	0.67	1.72	5.03	0



(VII)+(X) As a % of (A+B+C2)							
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	8300000	8623000	10780000	1502368	2939748	11200000	0
Reason for not providing PAN							
Reason for not providing PAN							

**Any Other (specify)**

Serial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of the Shareholders (I)	415
No. of fully paid up equity shares held (IV)	16529116
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	16529116
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	7.42
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	16529116
Class eg:y	
Total	16529116
Total as a % of Total Voting rights	7.42
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	7.42
Number of Locked in shares (XII)	
No. (a)	

As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	15642116
Reason for not providing PAN	
Reason for not providing PAN	

